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AUG 30 2004  
GROUP 3600

PATENTS  
Attorney Docket No. CF-55

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Carlton Bartels et al.  
Application No. : 09/967,272 Confirmation No. : 6788  
Filed : September 28, 2001  
For : ELECTRONIC TRADING SYSTEM FOR  
SIMULATING THE TRADING OF CARBON  
DIOXIDE EQUIVALENT EMISSION REDUCTIONS  
AND METHODS OF USE  
Group Art Unit : 3624  
Examiner : Sandra S. Snapp

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

TRANSMITTAL LETTER

Sir:

Transmitted herewith: ☐ a Preliminary Amendment;  
☒ a Reply to Office Action; ☐ a Petition Under 37 C.F.R. §  
1.136(a) for Extension of time; ☐ a Substitute Specification;  
☐ an Associate Power of Attorney; ☐ formal drawings; to be  
filed in the above-identified patent application.

FEE FOR ADDITIONAL CLAIMS

☒ A fee for additional claims is not required.  
☐ A fee for additional claims is required.

Express Mail:  
EV371752514US

The additional fee has been calculated as shown below:

CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEES
TOTAL CLAIMS	-	* =	X \$ 18 =	
INDEPENDENT CLAIMS	-	** =	X \$ 86 =	
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM			+ \$ 290 =	

\* If less than 20, insert 20.

TOTAL \$\_\_\_\_\_

\*\* If less than 3, insert 3.

[ ] A check in the amount of \$\_\_\_\_\_ in payment of the filing fee is transmitted herewith.

[X] The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

[ ] Please charge \$\_\_\_\_\_ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

#### EXTENSION FEE

[ ] The following extension is applicable to the Response filed herewith; [ ] \$110.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a);

☐ \$420.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); ☐ \$950.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); ☐ \$1,480.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.136(a); ☐ \$2,010.00 within fifth month pursuant to 37 C.F.R. § 1.136(a).

☐ A check in the amount of ☐ \$110.00; ☐ \$420.00; ☐ \$950.00; ☐ \$1,480.00; ☐ \$2,010.00 in payment of the extension fee is transmitted herewith.

☒ The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

☐ Please charge the ☐ \$110.00; ☐ \$420.00; ☐ \$950.00; ☐ \$1,480.00; ☐ \$2,010.00 extension fee to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

Respectfully submitted,



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EXPRESS MAIL CERTIFICATION

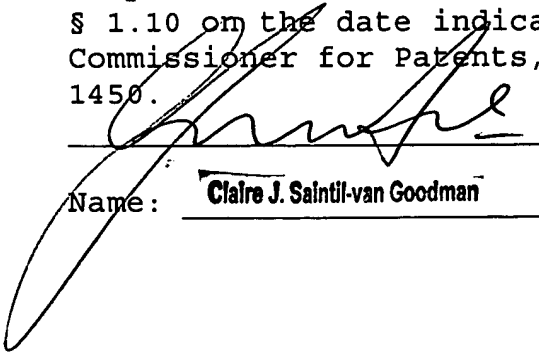
Express Mail Label No. EV371752514US

Date of Deposit: August 24, 2004

I hereby certify that this certification and the  
following papers:

1. Transmittal Letter (in duplicate); and
2. Reply to Office Action

are being deposited with the United States Postal Service  
"Express Mail Post Office to Addressee" service under 37 C.F.R.  
§ 1.10 on the date indicated above and are addressed to  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-  
1450.

  
Name: Claire J. Saintil-van Goodman